

PATENT

JFW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): En-Hsing Chen et al.

Title: NAND MEMORY ARRAY INCORPORATING MULTIPLE SERIES  
SELECTION DEVICES AND METHOD FOR OPERATION OF SAME

Application No.: 10/729,865 Filed: December 5, 2003

Examiner: Unknown Group Art Unit: 2818

Atty. Docket No.: 023-0029 Confirmation No.: 8494

May 27, 2005

Mail Stop Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**37 C.F.R. § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

- Form(s) PTO/SB/08A (1 page(s), with copy of no reference(s)).
- Form(s) PTO/SB/08B (N/A page(s), with copy of no reference(s)).
- Listing of Pending Applications (N/A page(s), with copy of no application(s)).
- Other: N/A

Citation of such information shall not be construed as (i) an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention, (ii) a representation that a search has been made, other than as described herein, or (iii) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

CERTAIN COPIES NOT INCLUDED

Pursuant to operative regulations, certain copies need not be provided and corresponding information is identified in this Information Disclosure Statement by citation only. In particular,

- In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. Patents and U.S. Patent Application Publications are not included.
- Certain information was submitted to, or cited by, the Office in Application No(s). N/A, filed N/A, to which the above-identified application claims priority under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of that information are not included.
- Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

FEE AUTHORIZATION

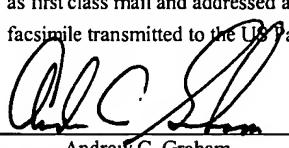
- This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being

- deposited with the US Postal Service with sufficient postage as first class mail and addressed as shown above.
- facsimile transmitted to the US Patent and Trademark Office.



5-27-05

Date

EXPRESS MAIL LABEL: \_\_\_\_\_

Respectfully submitted,



Andrew C. Graham, Reg. No. 36,531  
Attorney for Applicant(s)  
(512) 338-6313 (direct)  
(512) 338-6300 (main)  
(512) 338-6301 (fax)

PTO/SB/08A (Substitute for form 1449/PTO)	Attorney Docket No.: 023-0029
	Application No.: 10/729,865
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	First Named Inventor: Roy E. Scheuerlein
	Filing Date: December 5, 2003
	Group Art Unit: 2818
	Examiner Name: Unknown
Sheet 1 of 1	Date Submitted: May 27, 2005

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.